

## Criteria and Timeframe for Listing Announcements and Information Disclosure of Securities Companies



On June 16, 2023, the Securities and Exchange Commission Office Announcement No. 9/2566 regarding the Criteria and Timeframe for Listing Announcements and Information Disclosure of Securities Companies came into effect. This announcement was issued on June 6, 2023, by Thawatchai Pitisophon, Deputy Secretary-General, acting on behalf of the Secretary-General of the Securities and Exchange Commission Office.

Pursuant to Section 108 of the Securities and Exchange Act B.E. 2535, the Securities and Exchange Commission Office hereby issues the following announcement:

1. The previous announcement, No. 4/2544, concerning the criteria and timeframe for listing announcements and information disclosure of securities companies, dated January 11, B.E. 2544, is hereby repealed.
2. Securities companies are required to promptly disclose information in a readily accessible manner, allowing the Office and the public to view or inspect such information at all locations where securities companies interact with the public. The disclosed information must be accurate, complete, clear, up-to-date, and reflect the truth. This includes:
  - (a) licenses, certified copies of licenses, or any documentary evidence indicating the licensing status to conduct securities business issued by the Office, which can be announced or displayed through electronic

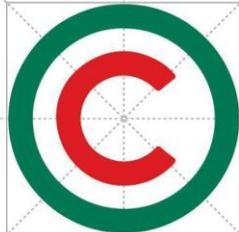
channels such as websites, applications, or any other means enabling access to information regarding the licensing status to conduct securities business, and

**(b)** financial statements and auditors' reports, along with explanatory notes, for the latest accounting period, which can be announced or displayed on electronic devices installed at the securities companies.

**3.** In the event of any changes to the information required to be disclosed under point 2, securities companies must promptly update and rectify such information to ensure its accuracy, completeness, and veracity.

Bangkok, July 2023

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